



*RENEWABLE
ENERGY
PROGRAM*

CALIFORNIA
ENERGY
COMMISSION

OVERALL PROGRAM GUIDEBOOK

SOLAR ENERGY AND DISTRIBUTED GENERATION GRANTS PROGRAM

Second Edition

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These guidelines were formally adopted by the California Energy Commission on April 18, 2001, pursuant to Public Resources Code section 25619, subdivision (d), and section 25620.10, subdivision (e), and subsequently revised pursuant to this authority on January 9, 2002.

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OVERALL PROGRAM GUIDEBOOK

SOLAR ENERGY AND DISTRIBUTED GENERATION GRANT PROGRAM

Introduction

Pursuant to Senate Bill 1345¹ the California Energy Commission has developed a Solar Energy and Distributed Generation Grant Program to offset the cost of purchasing and installing eligible solar energy and distributed generation systems in California. These *Guidelines* were adopted to govern the Program and its administration, and to assist interested applicants in applying for Program funds. The *Guidelines* are divided into three parts and available in three separate documents:

- Overall Program Guidebook
- Solar Energy Program Element Guidebook
- Distributed Generation Program Element Guidebook

To be eligible for Program funding, individual solar energy and distributed generation systems must meet the requirements and specifications contained in both the *Overall Program Guidebook* and the applicable Program element guidebooks. Those who wish to receive incentive funds must purchase a solar energy or distributed generation system that employs specific technologies, components and/or design features, meets certain eligibility requirements, and follow the application, reservation and claim procedures outlined in these *Guidelines*. If after reading these *Guidelines*, you require additional information about the Solar Energy and Distributed Generation Grant Program, please contact the Energy Commission Call Center at (800) 555-7794 or e-mail: renewablecallctr@energy.state.ca.us.

Chapter 1. General Provisions

1. Guidelines

These *Guidelines* shall be known as the *Solar Energy and Distributed Generation Grant Program Guidelines* and may be referred to as the *Guidelines*. The *Guidelines* are comprised of three separate documents, referred to as

¹ Stats. 2000, Ch. 537; as codified in Public Resources Code sections 25619 and 25620.10.

Guidebooks, containing the overall and program element-specific guidelines for the Program.

- *Overall Program Guidebook* describes how the Program will operate and how each of the Program Elements will be administered. This *Guidebook* includes information and overall requirements that apply to the entire Program and provides general procedural direction.
- *Solar Energy Program Element Guidebook* outlines eligibility requirements specific to solar energy systems, identifies eligible applicants and systems, available funding, and specific administrative procedures for this Program element.
- *Distributed Generation Program Element Guidebook* outlines eligibility requirements specific to distributed generation systems, identifies eligible applicants and systems, available funding, and specific administrative procedures for this Program element.

2. Authority

These *Guidelines* are adopted pursuant to Public Resources Code sections 25619, subdivision (d), and 25620.10, subdivision (e), which direct the California Energy Commission to develop and adopt guidelines to govern the administration of the Solar Energy and Distributed Generation Grant Program.

3. Interpretation

Nothing in these *Guidelines* shall be construed to abridge the powers or authority of the California Energy Commission or any Commission-designated committee as specified in Division 15 of the Public Resources Code, commencing with section 25000, or Division 2 of Title 20 of the California Code of Regulations, commencing with section 1001.

4. Effective Date of Guidelines

These *Guidelines* shall take effect immediately upon adoption by the California Energy Commission at a publicly-noticed meeting with no less than 30 days public notice.

5. Definitions

- a) Applicant - any individual or entity applying for funds under this Program
- b) Commission or Energy Commission - California Energy Resources Conservation and Development Commission

c) Committee - Electricity and Natural Gas Committee of the Energy Resources Conservation and Development Commission or other committee charged with implementing the Solar and Distributed Generation Grant Program.

d) Eligible solar energy system - any new, previously unused solar energy device whose primary purpose is to provide for the collection, conversion, transfer, distribution, storage, or control of solar energy for water heating or electricity generation for a single family dwelling or separate business unit, and that meets applicable standards and requirements imposed by state and local permitting authorities, including, but not limited to, the National Electric Code. Eligible solar energy systems for water heating purposes shall be certified by the Solar Rating and Certification Corporation (SRCC) or any other nationally recognized certification agency that certifies complete systems.

Major components of eligible solar energy systems for electricity generation shall be ~~listed~~ approved by a certified testing agency, such as the Underwriters Laboratory. Except as noted in the *Solar Energy Program Element Guidebook*, an eligible solar energy system includes all components necessary to collect, convert, transfer, distribute, store, and control solar energy for water heating or electricity generation.

For the purposes of this definition, a single solar energy system serving an apartment complex comprised of six single-family dwellings is considered six eligible solar energy systems, provided each dwelling is separately supplied and metered with electricity. A solar energy system does not include 1) wind energy devices that produce electricity or provide mechanical work, 2) additions to or augmentations of existing solar energy systems, or 3) a device that produces electricity for a structure unless the device is interconnected and operates in parallel with the electric transmission grid.

e) Eligible distributed generation system - any new, previously unused distributed generation system that is installed on onsite, interconnected and operating in parallel with the electricity grid, used solely to meet the onsite electrical load of a single family dwelling or separate business unit, and is certified by the Commission to provide environmental and system reliability benefits equal to or greater than the following specifications:

- 1) 40 percent total fuel-to-energy conversion efficiency for any nonrenewable fuel system,
- 2) 35 percent total fuel-to-energy conversion efficiency for any renewable fuel system,
- 3) Emission of oxides of nitrogen and any other applicable criteria pollutants that equal or exceed Best Achievable Control Technology

(BACT) for natural gas fired central station power plants. [The State Air Resources Board shall, in consultation with the Commission, prepare and update specifications for those emissions and other applicable criteria pollutants.]

4) 90 percent total system reliability.

Except as noted in the *Distributed Generation Program Element Guidebook*, an eligible distributed generation system includes all components necessary to combust, convert, distribute, store, control, and generate electricity. For the purposes of this definition, a single distributed generation energy system serving an apartment complex comprised of six single-family dwellings is considered six eligible distributed generation systems, provided each dwelling is separately supplied and metered with electricity.

f) Funding aAward - a rebate or other financial incentive awarded to an applicant under this Program.

g) Letter of intent - a document signed by a prospective purchaser and seller which describes the solar water heating system, battery backup system, generating equipment or distributed generation system to be purchased or leased. The letter of intent must ~~describe~~ including the make and model of major equipment components, ~~and~~ electrical output (if applicable), ~~and~~ the purchaser or lessee's address, the location where the equipment is to be installed, and the terms of such purchase or lease, including the itemized costs of major components, any installation costs and total cost of the system ~~cost of the equipment and~~ and the ~~location of installation~~, and ~~which~~ is subject to only limited conditions, such as the ability to secure a specified Buydown rebate level or rebate amount.

h) Program - Solar Energy and Distributed Generation Grant Program.

i) Program Element - one of two funding categories under the Solar Energy and Distributed Generation Grant Program. These funding categories are identified as the *Solar Energy Program Element* and the *Distributed Generation Program Element*.

j) Purchase order - a document signed by the seller or lessor and purchaser or lessee constituting a valid offer an agreement, which identifies the solar water heating system, battery backup system, generating equipment or distributed generation system to be purchased or leased. The purchase order must describe ~~including~~ the make and model of major equipment components, ~~and~~ electrical output (if applicable), the purchaser or lessee's address, the location where the equipment is to be installed, the itemized cost of the major equipment components and any installation to the

purchaser or lessee, and the payment terms and other conditions of sale or lease., ~~and the length of time the offer will remain valid (e.g., six months from the date the purchase order is issued).~~

- k) Recipient - any eligible individual or entity receiving a funding award under this Program.
- l) Separate business unit - any office, building, or structure, or separable portion thereof, that is separately supplied and metered with electricity from the California electrical grid and used for commercial, non-profit or governmental purposes. For the purposes of this definition, a single office building comprised of six separate units each of which is leased to a separate business entity and separately supplied and metered with electricity from the California electrical grid is considered six separate business units.
- m) Single-family dwelling - any apartment, condominium, house, or other structure that is separately supplied and metered with electricity from the California electrical grid and is used primarily for habitation by one or more individuals. For the purposes of this definition, a duplex is considered two single-family dwellings, and a 20 unit apartment complex is considered 20 single-family dwellings, provided each unit is separately supplied and metered with electricity.

6. Substantive Changes in *Guidelines*

Substantive changes to these *Guidelines* may be made upon the recommendation of the Committee with the approval of the Commission. Substantive changes shall take effect once adopted by the Commission at a publicly noticed meeting with no less than 10 days public notice. Substantive changes include, but are not limited to the following:

- Changes in the eligibility screening or evaluation criteria;
- Changes in the minimum or maximum dollar amount available for individual applicants or systems;
- Changes in the criteria or incentive levels for determining award amount;
- ~~a~~-A reallocation of funds between Program elements that exceeds 30 percent of the combined funding allocated annually to both Program elements.

7. Non-Substantive Changes in *Guidelines*

Non-substantive changes to these *Guidelines* may be made upon the recommendation and approval of the Committee. Non-substantive changes shall take effect 10 days after the Committee has approved and publicly noticed the non-substantive changes. Non-substantive changes include, but are not limited to the following:

- Changes in the formatting requirements of any invoice, report, form, or information requested in the forms.
- A reallocation of funds between Program elements that equals or is less than 30 percent of the combined funding allocated annually to both Program elements.

8. Eligibility

To be eligible for funding under this Program, systems must meet the general eligibility criteria listed below, as well as the specific eligibility criteria detailed in the applicable Program element guidebooks:

- Systems must be installed and operated within the State and owned or leased by a California resident.
- Systems must be covered by a three-year warranty that protects against system defects in material, manufacture, or installation, unless specified otherwise in the individual Program element guidebooks.
- Systems must be installed and operated in compliance with all applicable, laws, regulations, and standards, including environmental laws and local building codes and ordinances.
- Systems must be installed and placed in service after January 1, 2001.

For the purposes of the Program *Guidelines* and accompanying forms, any reference to “purchaser” (or “customer”) shall apply equally to the lessee of an otherwise eligible system, and any reference to “seller” (or “retailer”) shall apply equally to the lessor of an otherwise eligible system.

9. Type of Funding Available

Funding will be made in the form of rebates or other financial incentives paid directly to the purchasers, sellers, owner-builders, or owner-developers of eligible systems upon proof of the systems’ installation and operation as specified in applicable Program element guidebook.

10. Allocation and Reallocation of Program Funds

The Committee shall allocate and reallocate Program funds between the Program elements and between technology categories within a Program element as it deems appropriate given the availability of new and unused Program funds, the applicable encumbrancing and liquidations periods for such funds, and market conditions. A reallocation of funds between Program elements that exceeds 30 percent of the combined funding allocated annually to both Program elements shall require approval by the Commission.

Chapter 2. Solicitation and Application Process

1. Solicitations

Individual purchasers, sellers, owner-builders, or owner-developers interested in receiving a funding award must submit an application for funding pursuant to a current solicitation document² issued by the Commission. The solicitation document will specify the amount of funding available for eligible systems within a Program element or within a Program element subcategory, any funding caps or application limits, the time period, location and process for submitting applications, and other pertinent information. The solicitation document will also specify whether reservations for funding awards will be accepted and, if so, the amount of funding available for reserved funding awards as well as any special procedures for reserving and claiming reserved funding awards. Solicitation documents will be issued at such times as the Commission deems appropriate, given the availability of new or unused Program funds, the availability of staff resources, and market conditions.

2. Expiration of Solicitation

A solicitation document shall expire upon the earliest occurrence of the following:

- The final due date for applications specified in the solicitation document,
- When the available funds specified in the solicitation document are exhausted (i.e., awarded or reserved), or
- Upon cancellation of the solicitation document by the Committee.

The Commission will only accept applications submitted in response to an unexpired solicitation document.

² The solicitation document may take the form of a Notice of Availability, Program Opportunity Notice, or other equivalent notice.

3. Modification of Solicitation

The Committee may modify a solicitation document at any time before its expiration by issuing a public notice of modification. The modification shall take effect 15 days after the notice is published.

4. Cancellation of Solicitation

The Committee may cancel a solicitation document at any time before its expiration by issuing a public notice of cancellation. The cancellation shall take effect 15 days after the notice is published, unless the solicitation document expires sooner due to the exhaustion of available funds. The cancellation of a solicitation document will not affect any funding awards or funding award reservations issued before the effective date of the cancellation.

5. Funding Award Payment Applications

Unless indicated otherwise in a solicitation document or the applicable Program element guidebook, all funding award payment applications must include a completed CEC-1345-A Form and supporting documentation, ~~which~~, which includes, at a minimum, the following information:

- Name, address, and business information of applicant (purchaser, seller, owner-builder, or owner-developer);
- Name, address, and business information of the project contact, if different from applicant;

A completed, signed Vendor Data Record (Std.204 Form) for the designated payee, as needed;

The address where the system(s) ~~will be~~ has been installed and is now operating; for multi-unit systems, a listing of the addresses for each single family dwelling or separate business unit served.

- Description of system(s) for which funding is sought;
- The final sales invoice or an itemized ~~Detailed~~ statement of system costs with ~~and~~ copies of receipts to verify the cost to purchase or lease system equipment. ; The invoice or receipts must identify the specific system indicated on the Payment Application Form.
- An explanation of how the system(s) complies with the solicitation document and eligibility requirements, as needed;

- Proof that the system(s) is installed and operational, such as a final, signed-off building permit or inspection card, if one is required;
- Written ~~p~~Proof of a minimum three-year ~~the applicable~~ warranty for the system;
- A certification or attestation by the applicant or an authorized representative of the applicant that the information included in the application is true and correct to the best of the individual's knowledge.

Detailed ~~i~~Instructions for completing and submitting the Payment Application ~~is~~ Form and supporting documentation are included in each of the Program element *Guidebooks*.

6. Funding Award Reservations

Unless indicated otherwise in a solicitation document or the applicable Program element guidebook, funding award reservations are ~~only~~ available to applicants requesting funding for 1) one ~~three~~ or more eligible solar energy systems (multiple systems) at one or more locations, 2) single systems serving ~~either three or one~~ or more single-family dwellings or ~~three or more~~ separate business units (multi-unit systems), or 3) one or more eligible distributed generation systems. Applications for funding award reservations must include a completed CEC-1345-B Reservation Request Form and supporting documentation that provides, at a minimum, the following information:

- Name, address, and business information of applicant (purchaser, seller, owner-builder, or owner-developer);
- Name, address, and business information of the project contact, if different from applicant;
- A completed, signed Vendor Data Record (STD.204 Form) for the designated payee, as needed.
- The address where each system(s) will be installed and operated; for multi-unit systems, a listing of the addresses for each single family dwelling or separate business unit to be served.
- Description of the system(s) for which funding is sought;
- Copy of a valid purchase order or letter of intent including an itemized ~~Detailed~~ statement of the costs ~~of each system and copies of the purchase orders or letters of intent verifying the cost~~ to sell, purchase, or lease each ~~the~~ systems, and identifying the specific system indicated on the Reservation Request Form;

- An explanation of how the system(s) complies with the solicitation document and eligibility requirements, as needed;
- Proof that the system(s) will be sold to an eligible customer;
- Written pProof of a three-year ~~the applicable~~ warranty for the system;
- A certification or attestation by the applicant or an authorized representative of the applicant that the information included in the application is true and correct to the best of the individual's knowledge.

Detailed iInstructions for completing and submitting theis Reservation Request Form and supporting documentation are included in each of the Program element guidebooks.

7. Evaluation Process

Funding under the Program will be awarded on a first-come, first-serve basis consistent with any allocations prescribed in the applicable solicitation document or Program element guidebook. Applications for funding award payments and funding award reservations submitted in response to an unexpired solicitation document will be reviewed and evaluated in the order in which they are received using the following processes:

Funding Award Payment Applications

- A payment ~~The applications~~s will first be reviewed for completeness. ~~If an application is not complete, additional information will be requested in writing. If additional information is not received within the time frame specified in the written request, the application~~ Incomplete applications will be returned to the applicant unprocessed within 15 days of receipt. Complete~~When the applications is complete, it~~ will be recorded as "received" and will continue in the review process.
- Complete applications will then be reviewed to determine if the applicants and identified systems meet the eligibility requirements.
- If the eligibility requirements are satisfied, the payment application will then be awarded funding on a first-come, first-served basis until available funds are exhausted.

Funding Award ReservationsRequest

- A Reservation ~~The applications~~s will first be reviewed for completeness. ~~If an application is not complete, additional information will be requested in~~

~~writing. If additional information is not received within the time frame specified in the written request, the application~~ Incomplete applications will be returned to the applicant unprocessed within 15 days of receipt. Complete applications ~~When the application is complete, it will be~~ recorded as “received” and will continue in the review process.

- Complete applications will then be reviewed to determine if the applicants and identified systems meet the eligibility requirements.
- If the eligibility requirements are satisfied, the application will then be issued a ~~R~~reservation ~~C~~onfirmation (sent to the applicant- as provided on the ~~and claim form~~ (CEC-1345-C Form) on a first-come, first-serve basis until available funds are exhausted. The ~~R~~reservation Confirmation will identify the name of the reservation holder, the system to be installed, the site of installation, the amount of the funding award reserved, and the expiration date of the reservation, which shall be nine (9) months from the date of issuance. The system must be installed and the funding award claimed prior to the expiration date identified in the ~~funding award~~ ~~R~~reservation Confirmation ~~and claim form~~.

8. Confidentiality

Applications shall not contain confidential material. Any material in an application that is marked confidential will automatically be disregarded and returned to the applicant. The application will then be evaluated without the confidential information. All applications submitted to the Commission will be considered public documents. The Commission will maintain a database of pertinent information about the program participants for the purposes of monitoring the program’s effectiveness, ensuring compliance with applicable requirements and making programmatic improvements. The information in this data base will include the participant’s name and address, system type and cost, application and payment dates, and name of the system retailer or vendor.

9. Other Commission Funding

If an applicant is receiving funds from other grant programs administered by the Commission, total funds requested by all sources shall not exceed the total cost of the system.

10. Funding Award Payments

Unless indicated otherwise in a solicitation document, the Commission intends to make funding award payments within 45 days of receipt of a funding award Payment Application Form (CEC-1345-A), or Reservation Confirmation and Funding Award Claim (CEC-1345-C), or Reservation Assignment Form that is

deemed complete and eligible for funding. A funding award payment shall not be made to an applicant in the event of any of the following:

- The application is not complete, legible, or submitted with all required ~~the~~ ~~appropriate~~ supporting documentation;
- The funding award reservation has already expired;
- A random audit conducted pursuant to Chapter 3, Section 2, of this *Guidebook* reveals the applicant's system was not completed as specified in the application or supporting documentation; or
- Based on an investigation conducted pursuant to Chapter 3, Section 4, of this *Guidebook*, the Committee determines that the applicant has misstated, falsified, or misrepresented information in applying for a funding award or in reporting any information as required under the *Guidelines*.

Funding award payments shall be processed as specified in the individual Program element guidebooks.

11. Assignment of Funding Award

Applicants who have been issued a funding award reservation may assign their right to receive the funding award payment to a third party by completing the Reservation Payment Assignment portion of the CEC-1345-C, along with the completed ~~submitting it with the Reservation Confirmation and~~ Funding Award Claim portions of the CEC-1345-C. Instructions for completing these portions of the CEC-1345-C Form ~~forms~~ are included in each of the Program element *Guidebooks*.

12. Tax Consequences

Funding under this Program is considered gross income under state and federal tax laws. Consequently, the Commission will report funding award payments to the Internal Revenue Service and issue the designated payees an informational form (e.g., 1099-Misc) when required to do so by law. In order to process funding award payments for tax purposes applicants must complete a Vendor Data Record form (STD-204). This form identifies the taxpayer's information (i.e., the individual or entity receiving the funding award payment). The taxpayer identified in this form must be the same individual or entity identified as the payee in the funding award application. Applicants who assign their funding award payments to third parties pursuant to Chapter 2, Section 11, will be reported as the recipient of said payment and issued the informational form as required by law. Individuals and entities participating in the Program should consider the tax liability in deciding whether the retailer or customer should

receive the funding award payment, or whether the funding award payment should be assigned to a third party. Applicants who have not considered these tax consequences are encouraged to designate the retailer as the payee of the funding award payment to the extent it is practical to do so.

13. Reservation Extensions

If payment under a funding award reservation is not requested prior to the reservation's expiration (i.e., the date specified in the CEC-1345-C, Reservation Confirmation Form), the reserved funding award is cancelled and may not be acted upon, unless an extension was requested in writing and granted by the Committee prior to the reservation's expiration.

Extensions to a confirmed reservation may be granted due to extenuating circumstances outside the control of the recipient, provided the extension is not constrained by the liquidation period of the applicable funds. All extension requests must be in writing and must document the following:

- Circumstances beyond the reasonable control of the applicant caused the project's delay and prevent it from being operational before the reservation's expiration;
- All possible actions have been taken by the applicant to mitigate the project's delay;
- There are no other known obstacles in the way of completing the project;
- The applicant has incurred significant project costs in attempting to install the project;
- The requested extension would otherwise comport with all of the eligibility requirements of the program guidelines and the applicable solicitation document.

14. Reservations Modifications

Applicants who have been issued a funding award reservation for a specific project or system may modify the size, configuration or components of that project or systems, provided the modified system will be installed at the same location and is otherwise eligible for funding, and the applicant seeks approval of the modification in writing and in advance of its implementation.

Chapter 3. Program Administration

1. Program Evaluation, Project Monitoring, and Verification

The Commission or its authorized agents may audit any recipient to verify compliance with the *Guidelines* and the applicable solicitation document (if any) as well as to measure and verify system performance. In addition, the Commission will monitor the progress of the funding award process and evaluate the effectiveness of the Program.

2. Random Audits, Record Retention and Access to Facilities

Upon written request from the Commission, a recipient shall grant the Commission, its authorized agents or any other agency of the State reasonable access to inspect all eligible equipment purchased and installed pursuant to the Program, and reasonable access to records pertinent to verify the accuracy of the recipient's application(s). Award recipients shall retain all records relating to and verifying the accuracy of information stated in an application for a minimum of three years after the funding award is paid.

3. Reconsideration and Appeal

a) Committee Reconsideration:

Any applicant that applied for but did not receive funding may petition the Committee for reconsideration. The petition for reconsideration shall be submitted in writing, together with any supporting documentation, to the Committee at the following address within 15 days of the date of the notice of funding denial:

California Energy Commission
Electricity and Natural Gas Committee
1516 9th-Ninth Street, MS-34
Sacramento, CA 95814-5512

The petition shall specify the basis upon which the appeal is made, explain why the party believes the funding denial is improper given the eligibility criteria for the funding, provide a description of the supporting documentation filed with the petition, explain the legal authority or other basis supporting the petitioning party's position, and identify the remedy sought.

Within 30 days of receipt of the petition, the Committee, in its discretion, shall either issue a decision based on its consideration of the petition and the Commission staff's written response, or schedule a hearing to consider the petition. If a hearing is scheduled the petitioning party shall be notified of the hearing date and any additional information the petitioning party is directed to submit. This hearing notice shall be given at least 15 days in

advance of the Committee hearing date. The Committee may direct the petitioning party and Commission staff to attend the Committee hearing to offer pertinent testimony. The Committee shall provide the petitioning party with a written decision on the petition within 30 days of the hearing date.

b) Commission Appeal

If the petitioning party disagrees with the Committee's reconsideration decision, it may file an appeal with the Commission. The appeal shall be filed within 15 days of the date of the Committee's written Reconsideration decision. The appeal shall be in writing and state why the Committee's reconsideration decision is unacceptable. The letter of appeal, along with the petition for reconsideration, supporting documentation, and copy of the Committee's written reconsideration decision, shall be sent to the Commission's Public Adviser at the address below:

California Energy Commission
Public Adviser's Office
1516 Ninth Street, MS-12
Sacramento, CA 95814-5512

Within 15 days of receipt of the appeal, the Public Adviser shall arrange for the appeal to be presented to the Commission at a regularly scheduled Business Meeting, and shall inform the appealing party in writing of the Business Meeting date and the procedures for participating in the Business Meeting. The appealing party shall be responsible for presenting the appeal to the Commission during the Business Meeting. Unless the Commission determines otherwise during the course of the Business Meeting, a determination on the appeal shall be rendered during the Business Meeting.

4. Enforcement Action

a) Recovery of Overpayment

The Committee, with the concurrence of the Commission, may direct the Commission's Office of Chief Counsel to commence formal legal action against any recipient or former recipient to recover any portion of a funding award the Committee determines the recipient or former recipient was not otherwise entitled to receive.

b) Fraud and Misrepresentation

The Committee may initiate an investigation of any recipient which the Committee has reason to believe may have misstated, falsified, or

misrepresented information in applying for a funding award, invoicing for a funding award payment, or in reporting any information as required by the *Guidelines*. Based on the results of the investigation, the Committee may take any action it deems appropriate, including, but not limited to, cancellation of the funding award, recovery of any overpayment, and with the concurrence of the Commission, recommending the initiation of an Attorney General investigation and prosecution pursuant to Government Code sections 12650, et seq., or other provisions of law.

Application Forms and Instructions

The *Solar Energy Program Element Guidebook* and the *Distributed Generation Program Element Guidebook* each contain copies of the necessary application forms and detailed instructions for completing and submitting each form and required, supporting documentation.

For eligible solar energy systems:

- CEC-1345-A-SE, Payment Application Form
- CEC-1345-B-SE, Reservation Request Form
- CEC-1345-C, Reservation Confirmation, Funding Award Claim, and Reservation Payment Assignment Form
- STD 204, Vendor Data Record

For eligible distributed generation systems:

- CEC-1345-A-DG, Payment Application Form
- CEC-1345-B-DG, Reservation Request Form
- CEC-1345-C, Reservation Confirmation, Funding Award Claim, and Reservation Payment Assignment Form
- STD 204, Vendor Data Record